



Appl. No. : 10/661,393  
Paper Dated : Sat. Sep. 23, 2006  
Supplement to Payment of Fee(s) Due : Loss of Entitlement

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No. : 10/661,393  
Applicant : Collins, Robert William  
Filed : September 12, 2003  
Title: : Reminder Display Envelope  
TC/A.U. : 3611  
Examiner: : Hoge, Gary C. (571) 272-6645  
Date of Transmitting (Faxing)  
this Paper : Sep. 23, 2006

Certificate of Transmission Under 37 CFR 1.8 on Last Page

Mail Stop ISSUE FEE  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Via Facsimile to the Fee(s) Due Fax Number (571) 273-2885--SIX (6) Pages Total

**LOSS OF ENTITLEMENT TO SMALL ENTITY STATUS  
UNDER 37 C.F.R. 1.27, 1.28**

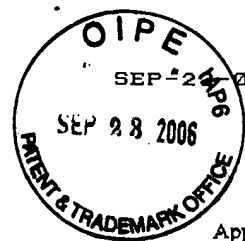
Sir:

The above application was allowed and a Fee(s) Due statement was mailed on  
from the USPTO on September 1, 2006. This paper applies only to the above-identified  
patent application. This paper is being sent to the Issue Branch because prosecution on

09/25/2006 SLUANG1 00000004 10661393

01 FC:1001 790.00 OP  
02 FC:1251 120.00 OP

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the merits is closed and the Fee(s) Due statement instructs that any further correspondence be sent to the Issue Branch. This paper accompanies the transmittal of the Part B-Fee(s) Transmittal paper and payment of the Issue Fee and Publication Fee.

In preparing these documents and reviewing the case, it was discovered that the application had been filed claiming Small Entity Status under 37 CFR 1.27, but that the claim to such status was in error. It was explained prior to filing the above-identified application that the company had fewer than 500 employees, but it recently further developed to our knowledge that the local corporation is in fact a wholly owned subsidiary of another corporation in a foreign country, that is, Germany, a fact previously unknown to us and that this status has been the case since at least the filing date of the above-identified patent application. Further, the combined number of employees of the two entities exceeds five hundred (13 CFR 121.801-121.805; 37 CFR 1.27). Therefore, it is believed that large entity fees are due and have been due throughout the prosecution of the above-identified patent application.

The undersigned avers and declares that the establishment of the applicant assignee in this matter involved no effort or intent to deceive or commit fraud (37 CFR 1.1(h)(1)(2)) by any involved party including the undersigned but was a simple error caused by inadequate responses to inquiry or inquiry. We note that no company papers we have

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seen, such a letterhead, envelopes, business cards, checks, signs or the like bear any indication of its status as a wholly owned subsidiary or foreign ownership.

As required by 37 CFR 1.28(c), we declare that the status of the applicant as a small entity was established in good faith and the fees as a small entity have been paid in good faith, and it has later been discovered that such status as a small entity was established in error and that through error the Office has not been previously notified of a loss of entitlement to small entity status as required by § 1.27(g)(2). Large entity status was in fact definitively established recently through a significant detailed and lengthy review of appropriate regulations and strict inquiry from a new officer at applicant-assignee.

As required by 37 CFR 1.28(1), this is a separate submission that applies only to the above-identified patent application.

As required by 37 CFR 1.28(2), attached is a Credit Card Payment Form paying the deficiency in addition to the Issue Fee and Publication Fee. Please charge any further deficiency fee to our PTO Deposit Account Number 09-0021, held in the name of the undersigned. The deficiency is believed to be as follows as calculated under 37 CFR 1.28(2)(i)(ii):

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Fee Type	Date Paid	Amount Paid	Current Large Entity Fees	Deficiency (amount now due)
Filing Fee (20 total claims; including 3 Independent)	09/12/03	\$375.00	Filing Fee \$300.00 Search Fee 500.00 Examination + <u>200.00</u> TOTAL: \$1,000.00	\$625.00
Excess Claims Fees	N/A	-0-		
1 Month Ext. Fee	02/07/04	60.00	120.00	60.00
<b>TOTAL NEW FEES (Deficiency)</b>				<b>\$685.00</b>

In addition, the publication fee of \$300.00 and the Issue Fee of \$1,400.00 are needed, bringing the total Patent Office Fees required for issuance of a patent in the above-identified patent application to the enclosed \$2,385.00.

Adjustment date: 09/25/2006 SLUANG1  
 09/16/2003 LWOHDIM1 00000024 10661393  
 01 FC:2001 -375.00 OP

Adjustment date: 09/25/2006 SLUANG1  
 02/11/2005 MGE BREM1 00000069 10661393  
 01 FC:2251 -60.00 OP

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It is respectfully requested that this error be excused and the patent application mature into the grant of a patent. We look forward to your further correspondence. In the meantime, please call the undersigned attorney at (913) 897-6738 in regard to this application if desired.

Respectfully Submitted,

*Kenneth W. Iles*

Kenneth W. Iles  
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Attorney for Applicant  
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CERTIFICATE OF TRANSMISSION (FAXING) UNDER 37 CFR 1.8

I hereby certify that this correspondence is being transmitted via facsimile to the ISSUE BRANCH facsimile number of the Patent Office, which is (571) 273-2885 on Sep. 23, 2006.

*Kenneth W. Iles*  
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Signed: Kenneth W. Iles

*Sat. 23 Sep. 2006*  
\_\_\_\_\_  
Date: